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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

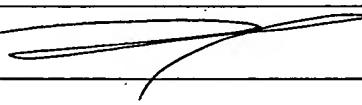
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<b>TRANSMITTAL FORM</b>		<b>Application Number</b>	<b>10/055,794</b>
(to be used for all correspondence after initial filing)		<b>Filing Date</b>	<b>January 17, 2002</b>
		<b>First Named Inventor</b>	<b>FARMER, ANDREW ALAN</b>
		<b>Group Art Unit</b>	<b>1636</b>
		<b>Examiner Name</b>	<b>MARVICH, MARIA B.</b>
Total Number of Pages in This Submission	10	Attorney Docket Number	<b>CLON-069</b>

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ol style="list-style-type: none"> <li>1. Copy of International Search Report dated December 12, 2002 (7 pgs)</li> <li>2. SB/08A (1 pg)</li> <li>3. 2 Cited References</li> <li>4. Return Postcard</li> </ol>
Remarks		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name	<b>BRET E. FIELD, Reg. No. 37,620</b>	
Signature		
Date	JAN 24 2003	

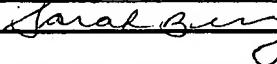
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Typed or printed name	<b>Sarah Berg</b>	Date	January 16, 2003
Signature			

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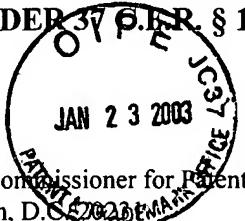
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**Typed or Printed Name**    Sarah Berg

**Signature**    *Sarah Berg*    **Date**    January 16, 2003

**INFORMATION  
DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(e)**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231



Attorney Docket	CLON-069
First Named Inventor	FARMER, ANDREW ALAN
Application Number	10/055,794
Confirmation No.	7438
Filing Date	January 17, 2002
Group Art Unit	1636
Examiner Name	MARVICH, MARIA B.
Title: <i>"Sequence Specific Recombinase-Based Methods for Producing Intron Containing Vectors and Compositions for Use in Practicing the Same"</i>	

Sir:

Applicants submit herewith patents and/or publications which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. While this Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is "prior art" for this invention unless specifically designated as such. A listing of patents and/or publications is shown on enclosed Form PTO-SB/08A and a copy of each patent and/or publication is also enclosed.

Each item of information contained in the Information Disclosure Statement filed herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement (37 C.F.R. 1.97(e)(1)). A copy of the communication is enclosed for the Examiner's convenience.

The Examiner is requested to make the citations listed on the enclosed PTO-SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO-SB/08A, indicating the references have been considered and made of record herein.

No fee is believed due as this statement is being submitted within three months of the mailing date of the enclosed foreign communication. However, if it is determined that fees are required, the Commissioner is hereby authorized to charge any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 50-0815, Order No. CLON-069.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date: January 16, 2003

By:

Bret E. Field, Reg. No. 37,620

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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		<b>Complete if Known</b>			
Sheet	1	of	1	Application Number	10/055,794
				Filing Date	January 17, 2002
				First Named Inventor	FARMER, ANDREW ALAN
				Group Art Unit	1636
				Examiner Name	MARVICH, MARIA B.
				Attorney Docket Number	CLON-069

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials'	Cite No. <sup>1</sup>	U.S. Patent Documents		Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		

<b>FOREIGN PATENT DOCUMENTS</b>					
Examiner Initials'	Cite No. <sup>1</sup>	Foreign Patent Documents		Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY
		Office <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)		
		WO 00/60091		Sauer, et al.	10-12-2000

<b>OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		QINGHUA, et al. "The univector plasmid-fusion system, a method for rapid construction of recombinant DNA without restriction enzymes" <i>Current Biology, Current Science</i> , (1998) Vol. 8(24): 1300-1309.			

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Examiner Signature		Date Considered
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<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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